

INTRODUCTION

Pursuant to § 18-11 of the Charter of the City of Rochester, and in the interest of public accountability, the Police Accountability Board has made the following investigative report public. It has been redacted so as not to disclose the identities of the officers and civilians involved.

Pursuant to *Rochester Police Locust Club, Inc. v. City of Rochester*, 41 N.Y.3d 156 (2023), Rochester Police Officers can only be disciplined by the Rochester Police Department. Accordingly, where a finding of police misconduct has been sustained by the Board, the PAB issues disciplinary recommendations to the Chief based on our Disciplinary Matrix.

The final Board decision as to the PAB determination of misconduct and recommended discipline are followed by the investigatory report prepared by PAB staff.

BOARD DECISION

Public Tracking Number (PTN): 2023-0005

Date of Panel Review: 24-Oct-2024 5:30 PM (EDT)

Board Members Present: ,

Case Findings:

Sustained: Allegations 3, 4, 8

Not sustained: Allegations 1, 2, 5,6,7,9

Disciplinary Recommendation:

Officer 1) - Termination.

Officer 3) - 10-day suspension.

Dissenting Opinion/Comment: N/A.

DEFINITIONS

Exonerated: A finding at the conclusion of an investigation that either the alleged act did not occur, or that although the act at issue occurred, the subject officer's actions were lawful and proper and within the scope of the subject officer's authority under police department guidelines.

Not Sustained: A finding at the conclusion of an investigation that there is insufficient evidence to establish whether an act of misconduct occurred.

Sustained: A finding at the conclusion of an investigation by a preponderance of the evidence that the subject officer committed the act charged in the allegation and that it amounted to misconduct.

Closed: Vote to close the case.

PTN: 2023-0005

Allegation #1:

Officer 1 failed to be truthful in accordance with RPD Rule 4.6 by stopping Complainant 1 on 11.12.22 for an alleged failure to signal.

- Does the Board Agree with the Findings of Fact? Yes
- Does the Board Agree with the Substantiated Evidence of Misconduct? N/A
- Does the Board Agree with the Proposed Disciplinary Action? N/A

Allegation # 2:

Officer 2 failed to be truthful in accordance with RPD Rule 4.6 by stopping Complainant 1 on 11.12.22 for an alleged failure to signal.

- Does the Board Agree with the Findings of Fact? Yes
- Does the Board Agree with the Substantiated Evidence of Misconduct? N/A
- Does the Board Agree with the Proposed Disciplinary Action? N/A

Allegation #3:

Officer 1 detained Complainant 1 on 11.12.22 without probable cause, or reasonable suspicion that Complainant 1 posed a safety risk, which violates U.S. Constitution Amendment 4, RPD Rule 2.15, RPD General Order 585, RPD Training Bulletin L-26-98 and RPD Training Bulletin L-32-99. This is also malfeasance as defined by the RPD Rules and Regulations.

- Does the Board Agree with the Findings of Fact? Yes
- Does the Board Agree with the Substantiated Evidence of Misconduct? Yes
- Does the Board Agree with the Proposed Disciplinary Action? Yes

Allegation # 4:

Officer 3 detained Complainant 1 on 11.12.22 without probable cause, or reasonable suspicion that Complainant 1 posed a safety risk, which violates U.S. Constitution Amendment 4, RPD Rule 2.15, RPD General Order 585, RPD Training Bulletin L-26-98 and RPD Training Bulletin L-32-99. This is also malfeasance as defined by the RPD Rules and Regulations.

- Does the Board Agree with the Findings of Fact? Yes
- Does the Board Agree with the Substantiated Evidence of Misconduct? Yes
- Does the Board Agree with the Proposed Disciplinary Action? Yes

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Allegation # 5:

On 11.12.22, Officer 1 unlawfully detained Complainant 1, which is an act contrary to the law and RPD policy. As an observer, Officer 2 failed to intervene, prevent or stop the unlawful detention as mandated by RPD General Order No. 336.

- Does the Board Agree with the Findings of Fact? Yes
- Does the Board Agree with the Substantiated Evidence of Misconduct? N/A
- Does the Board Agree with the Proposed Disciplinary Action? N/A

Allegation # 6:

On 11.12.22, Officer 1 unlawfully detained Complainant 1, which is an act contrary to the law and RPD policy. As an observer, Officer 4 failed to intervene, prevent or stop the unlawful detention as mandated by RPD General Order No. 336.

- Does the Board Agree with the Findings of Fact? Yes
- Does the Board Agree with the Substantiated Evidence of Misconduct? N/A
- Does the Board Agree with the Proposed Disciplinary Action? N/A

Allegation #7:

On 11.12.22, Officer 1 unlawfully detained Complainant 1, which is an act contrary to the law and RPD policy. As an observer, Officer 5 failed to intervene, prevent or stop the unlawful detention as mandated by RPD General Order No. 336.

- Does the Board Agree with the Findings of Fact? Yes
- Does the Board Agree with the Substantiated Evidence of Misconduct? N/A
- Does the Board Agree with the Proposed Disciplinary Action? N/A

Allegation #8:

Officer 1 made and submitted an official report, which inaccurately described search and seizure of Complainant 1 on 11.12.22. This act is a violation of RPD Rule 4.6 and RPD Rule 5.1(c). This act is also Misfeasance as defined by the RPD Rules and Regulations.

- Does the Board Agree with the Findings of Fact? Yes
- Does the Board Agree with the Substantiated Evidence of Misconduct? Yes
- Does the Board Agree with the Proposed Disciplinary Action? Yes

Allegation #9:

Officer 6 failed to take action regarding the misconduct on the part of subordinates during the incident, or after the incident, which is a violation of RPD Rule 6.2 and considered neglect of duty.

- Does the Board Agree with the Findings of Fact? Yes
- Does the Board Agree with the Substantiated Evidence of Misconduct? N/A
- Does the Board Agree with the Proposed Disciplinary Action? N/A

PTN: 2023-0005



CLOSING REPORT

STATEMENT OF AUTHORITY

Article XVIII of the Rochester City Charter defines the authority and duties of the Police Accountability Board. Pursuant to § 18-1, "The Police Accountability Board shall be the mechanism to investigate such complaints of police misconduct and to review and assess Rochester Police Department patterns, practices, policies, and procedure...The Police Accountability Board shall provide a nonexclusive alternative to civil litigation."

EXECUTIVE SUMMARY

The incident occurred on November 12, 2022 on Thomas Street between Wilkins Street and Bernard Street, 14621
in Clinton section during a traffic stop. Mr. is the owner and operator of the vehicle at the
time, with I as a passenger. Rochester Police Department's Officer and I and I
partner on duty, Officer initiated the traffic stop for a reported failure to signal when
Complainant 1 made a right turn onto Thomas Street at approximately 9:24pm. During the traffic stop, Officer 1
discovered that Complainant 1' vehicle registration expired 13 days prior on October 30, 2022. During the stop,
additional RPD Officers and later
arrived on scene. The initial report to PAB alleges that the RPD officers removed Complainant 1 from
vehicle to conduct a warrantless search. During that vehicle search, RPD officers discovered an unregistered
firearm prompting Complainant 1' subsequent arrest. This investigation seeks to determine if the aforementioned
RPD officers properly executed the search and seizure in accordance with the United States Constitution, New
York State Law, RPD Rules, General Orders and Training.

INVOLVED OFFICERS

Identifier	Officer Name	Badge / Employee #	Date of Appointment	Sex	Race / Ethnicity
Officer 1	Officer				
Officer 2	Officer				
Officer 3	Officer		8		
Officer 4	Officer				
Officer 5	Officer				
Officer 6					

INVOLVED INDIVIDUALS

Identifier	Name	Age	Sex	Race / Ethnicity
Complainant 1		33	M	ī
Witness 1		32	M	Black / Non-Hispanic



ALLEGATIONS

1.	Untruthfulness	Officer 1 failed to be truthful in accordance with RPD Rule 4.6 by stopping
		Complainant 1 on 11.12.22 for an alleged failure to signal.
2.	Untruthfulness	Officer 2 failed to be truthful in accordance with RPD Rule 4.6 by stopping
		Complainant 1 on 11.12.22 for an alleged failure to signal.
3.	Unlawful Detention	Officer 1 detained Complainant 1 on 11.12.22 without probable cause, or reasonable
		suspicion that Complainant 1 posed a safety risk, which violates U.S. Constitution
		Amendment 4, RPD Rule 2.15, RPD General Order 585, RPD Training Bulletin L-26-
		98 and RPD Training Bulletin L-32-99. This is also malfeasance as defined by the RPD
		Rules and Regulations.
4.	Unlawful Detention	Officer 3 detained Complainant 1 on 11.12.22 without probable cause, or reasonable
		suspicion that Complainant 1 posed a safety risk, which violates U.S. Constitution
		Amendment 4, RPD Rule 2.15, RPD General Order 585, RPD Training Bulletin L-26-
		98 and RPD Training Bulletin L-32-99. This is also malfeasance as defined by the RPD
		Rules and Regulations.
5.	Failure to Intervene	On 11.12.22, Officer 1 unlawfully detained Complainant 1, which is an act contrary to
		the law and RPD policy. As an observer, Officer 2 failed to intervene, prevent or stop
		the unlawful detention as mandated by RPD General Order No. 336.
6.	Failure to Intervene	On 11.12.22, Officer 1 unlawfully detained Complainant 1, which is an act contrary to
		the law and RPD policy. As an observer, Officer 4 failed to intervene, prevent or stop
<u> </u>		the unlawful detention as mandated by RPD General Order No. 336.
7.	Failure to Intervene	On 11.12.22, Officer 1 unlawfully detained Complainant 1, which is an act contrary to
		the law and RPD policy. As an observer, Officer 5 failed to intervene, prevent or stop
		the unlawful detention as mandated by RPD General Order No. 336.
8.	Falsifying Reports	Officer 1 made and submitted an official report, which inaccurately described
		search and seizure of Complainant 1 on 11.12.22. This act is a violation of RPD Rule
		4.6 and RPD Rule 5.1(c). This act is also Misfeasance as defined by the RPD Rules and
	N 1 (CD)	Regulations.
9.	Neglect of Duty	Officer 6 failed to take action regarding the misconduct on the part of subordinates
		during the incident, or after the incident, which is a violation of RPD Rule 6.2 and
		considered neglect of duty.

INVESTIGATION

The Police Accountability Board notified the Rochester Police Department of this investigation, and requested all relevant evidence via a Source of Information request form, on January 31, 2023. RPD Liaison to the PAB, Captain Steven D. Swetman responded via the Shared File Transfer folder on February 8, 2023 by stating, "RPD received your request on 1-31-23. I have attached the CAD job cards, reports, and BWC for this arrest. There were no IAPRO files. Thanks Captain Swetman 2-8-23". PAB reviewed the evidence provided by RPD while gathering additional evidence. PAB conducted a search of the City of Rochester Police Department Discipline Database for each involved officer in efforts to obtain disciplinary records not provided by RPD. One document P.S.S #21-1323 reveals that Officer 1 received discipline for involvement in an incident, which occurred on September 13, 2021. On April 10, 2024 during Complainant 1 provided a DVD to PAB, which contained BWC footage captured the night of the incident by Officers 1, 2, 4 and 5. The BWC footage provided by the complainant is identical to the BWC footage provided by RPD on February 8, 2023. PAB sent a second Source of Information request to RPD on May 20, 2024 requesting the following evidence: 1. Officer



(excluding P.S.S. #21-1323, which is available via City of Rochester Public Records). 2. Officer
training and disciplinary records. 3. Officer training and disciplinary records. 4.
training and disciplinary records. 5. Officer training and disciplinary
records. 6. Officer training and disciplinary records. 7. Any and all reports of traffic stops or RPD
interactions with between 10/01/2022-11/12/2022. 8. All BWC footage of previous traffic
stops and RPD interactions with between 10/01/2022-11/12/2022. 9. All interdepartmental
correspondence mentioning Captain Steven D. Swetman responded to this second SOI
request via the Shared File Transfer folder on May 27, 2024 by stating, "RPD received your request on 5-20-24 at
1027hrs. I was out with a medical issue at this time. All discipline records are on the 50A portal. All officers attend
a 6.5 month DCJS approved academy for training. This is part of a large conversation for what training records you
are looking for. I am only able to find the one incident that I provided all documents to the PAB about February 8,
2023. This included BWC, grand jury documents, reports, UTT's etc. I do not see a record of anything other than
11-12-22. I do not see any IDC's regarding Thanks Captain Swetman 5-27-24."

EVIDENCE SUMM	ARY
Evidence	Description
Officer 1 BWC	The video begins at 9:23pm with Officer 1 driving. Officer 1 exits the vehicle and
<u>Video 1</u>	approaches the driver side of Complainant 1' pick-up truck. Complainant 1' window is
	open substantially but not completely. The window also does not appear to have a tint.
Recorded Saturday,	Officer 1 asks Complainant 1 and the passenger (Witness 1) how they are doing, to
November 12, 2022	which Complainant 1 responds "alright, yourself?" Officer 1 replies "good" and asks
9:23:48p-9:25:47p,	Complainant 1 if knows why is being stopped. Complainant 1 responds that
provided by RPD.	does not know, and Officer 1 informs Complainant 1 that stopped for failing to
	signal before turning on St. Officer 1 notices tears on Complainant 1's face asks
	Complainant 1 if is ok. Complainant 1 looks over at passenger and states, "I just
	flicked the signal". Complainant 1 turns back to Officer 1 and explains that was
	crying because it is daughter's birthday, and she had an anxiety attack while out with
	mentioned something about the daughter wanting her mother and this ultimately
	being a bad day for which was discussing with friend and passenger prior to
	the stop. Despite crying, the footage reveals that Complainant 1's demeanor is
	objectively calm and cooperative. Throughout the interaction, Complainant 1 gesticulates
	often and rests left arm on the upper frame of the door making hands visible.
	Additionally, both Officer 1 and Officer 2 are using their flashlights from either side of
	the vehicle to illuminate the front seat making more visible the hands of Complainant 1
	and Witness 1 respectively. Upon Officer 1's request, Complainant 1 reaches into
	pocket to retrieve wallet and provide driver's license. While Complainant 1 is
	reaching for wallet. passenger and friend begins to speak stating, "Y'all gotta
	come up with better tactics, there's nothing in here. I promise you. Y'all gotta come up
	with better tactics." Officer 1 questioned Witness 1 by stating "better tactics? What do
	you know about tactics my man?" Complainant 1 provides Officer 1 with the license.
	Officer 1 inquires about the status of Complainant 1's license and Complainant 1
	responds, "It is perfectly fine". Officer 1 then examines the registration sticker of the
	vehicle with flashlight and discovers that the registration expired. Officer 1
	announces this to Officer 3 as approached by stating, "regis (registration) expired"
	before walking back to car to check the license. Officer 1 gets into the vehicle, once
	again announcing "regis (registration) expired" before the video ends at 9:25pm.



Evidence	Description
Officer 2	This video begins at 9:24pm from the perspective of Officer 2 who approaches
BWC Video 1	the passenger side of Complainant 1's vehicle with flashlight on illuminating the
	front of the vehicle. The passenger side window is completely down and both
Recorded Saturday,	Complainant 1 and Witness 1's hands are respectively visible. As Officer 1 is speaking
November 12, 2022	with Complainant 1, the passenger, Witness 1 smiles upon hearing Officer 1 assert the
9:24:36p-9:25:41p,	reason for the traffic stop as a failure to signal. Witness 1 then states, " just signaled"
provided by RPD.	which was immediately repeated by Complainant 1 who turned to briefly to verify
7	by stating, "I just turned the signal". Witness 1 agrees and chuckles while shaking
	head. While still smiling, Witness 1 then states, "Come on man, y'all gotta use better
	tactics than that to try to pull people over just to fuck with them. We go thru this shit too
	much. Y'all really gotta come up with better tactics bruh, for real." Witness 1 then looks
	toward Officer 1 as Complainant 1 is getting wallet out of pocket, and shrugs with
	both of hands raised while stating, "Ain't nothin' in here. Ain't nothing in here. I
	promise you. Y'all gotta come up with better tactics." As Officer 1 accepts the license
	from Complainant 1, responds to Witness 1 stating, "better tactics? What do you
	know about tactics my man?" Witness 1 repeats that "this is getting old" asserts that it
	happens "every other day". Officer 2 then heads back to the RPD vehicle with Officer 1
	to run the license as Officer 4 replaces at the passenger side window of Complainant
	1's vehicle and begins to engage with Witness 1 by stating, "then get the car fixed huh?
	Get the registration fixed." The video ends at 9:25pm.
Officer 4 BWC	The video begins at 9:25pm with Witness 1 explaining confusion regarding why they
<u>Video 1</u>	were stopped. Witness 1 asserts that is not being hostile but pointed out that the first
D 110 1	officer (1) stated they were stopped for a failure to signal, however the other Officer (3)
Recorded_Saturday,	is claiming this was related to an expired registration. Officer 3 responded that
November 12, 2022	Officer 4 did not make the stop, but Officer 1 informed them that the registration had
9:25:45p-9:30:29p,	expired. Officer 4 added, "You gotta understand there can be two things going on, can
provided by RPD.	see that you didn't signal, and the registration can be (expired), regardless of anything
	the registration is expired". Complainant 1 stated that did signal, although it might have been late, assured the officers that used a signal. At 9:27pm (1:17 of the
	video), Officer 1 instructs Complainant 1 to exit the vehicle, which does while stating,
	"I don't know what's going on." Officer 2 states, "we'll explain it to you man".
	Complainant 1 is handcuffed immediately once exits the vehicle. While this is
	happening, Witness 1 expresses disbelief at the current predicament by stating, "This is
	crazy man. I'm on my way to the house, going to get my food then going home."
	Complainant 1 echoes these words and jokingly says to Witness 1 "do you see why I
	wanted to stay home?" to which Witness 1 replies "yea man, this shit is crazy."
	Complainant 1 is asked if has a weapon on and responds in the affirmative while
	explaining that had been shot before and was in fear for life. Witness 1 is then
	instructed to exit the vehicle, which does and is immediately handcuffed, searched
	and placed in the back of an RPD vehicle by Officer 4 pending the search of
	Complainant 1's truck. Once Witness 1 is securely in the back of the vehicle, Officer 4
	puts on gloves and returns to Complainant 1's truck to begin the search. Video
	concludes at 9:30pm.



Evidence	Description
Officer 4 BWC	This photo shows a loaded 18-round capacity magazine discovered in some part of the
Photo. Provided by	vehicle during the search.
RPD.	
Officer 3 BWC	The video starts at 9:25pm with Complainant 1 and Witness 1 expressing their confusion
Video 1	regarding why they were stopped. Specifically citing that they did not do anything
	wrong. Officer 4 speaks to Witness 1 on the passenger side stating there is an issue with
Recorded_Saturday,	the registration. Witness 1 responded to this stating that the Officer who stopped them
November 12, 2022	(1) said it was a failure to signal. Officer 3 reminded Complainant 1 and Witness 1 that
9:25:49p-9:31:35,	self and Officer 4 did not make the stop but are with Officer 1 and Officer 2 who
provided by RPD.	informed them that they observed a failure to signal, and then discovered an expired
	registration. Witness 1 assures Officer 4 that is not trying to be hostile but shares in
	Complainant 1's confusion because Officer 1 said one thing, and now they're are saying
	another. Officer 3 shines flashlight on the Registration sticker to confirm its expiration and stands by. Complainant 1 once again states that might have signaled
	later before turning but did use signal. At 9:27pm (01:12 of this video), Officer 3
	turns to look at Officer 1 briefly, and is instructed by Officer 1, to have Complainant 1
	take the keys out of the ignition. Officer 3 obliges this request, prompting Complainant 1
	to turn off the vehicle. Complainant 1 can be heard stating, "sure, but I don't know
	what's going on" as Officer 1 moves closer to the driver side front door and grabs the
	door handle. At 00:55 of the video, Officer 1 requests that Complainant 1 step out of the
	vehicle. Complainant 1 asks Officer 1 "For what sir?" but is given no answer. Officer 1
	then instructs Complainant 1 to "take a step out of the car". Complainant 1 complies
	while stating, "Ok, but I don't know what's going on". Immediately upon exiting the
	vehicle, Officer 1 grabs Complainant 1's arm and begins to handcuff While
	handcuffing Complainant 1 with officer 3' assistance, Officer 1 states only "do me a
	favor and put your hands behind your back, you're just detained for now alright?" There
	is communication between Officer 1 and Officer 3 about the need to "double cuff
	as Complainant 1 jokingly states to Witness 1 "do you see why I wanted to stay home?"
	Officer 1 secures Complainant 1's hands in the handcuffs and states, "dude you're detained alright?" Officer 1 initiates a pat down of Complainant 1 while asking if
	detained alright?" Officer 1 initiates a pat down of Complainant 1 while asking if has any weapons on Complainant 1 answers in the affirmative. Officer 1 asks
	Complainant 1 about the weapon to which Complainant 1 explains that was
	previously the victim of a shooting, of which the shooter is unknown causing to
	carry a gun for protection in fear of life. Complainant 1 went on to inform Officer 1
	that the gun was in the bandaging wrapped around stomach, which covered
	gunshot wound. Officer 1 then instructs another officer to go to the other side of the
	vehicle and grab Witness 1 because is not free to leave. Officer 3 takes possession of
	the firearm recovered by Officer 1 and walks to the trunk of one of the RPD vehicle
	parked behind the truck. Officer 3 removes the magazine from the firearm then pulls
	back the slide several times to ensure that the chamber is empty. Once confirms that
	the chamber is empty and the gun is safely disarmed, notices Officer 1 approaching
	the vehicle from the passenger side with Complainant 1 in custody. Officer 3 asks
	Officer 1 to open the trunk of the vehicle. The camera also captures Officer 4 escorting a
	handcuffed Witness 1 to a separate RPD vehicle. The video concludes at 9:31pm with
	Officer 3 securing the weapon in the back trunk of the vehicle.



At 9:26pm, this video shows Officer 1 sitting in the driver seat of RPD vehicle typing the information from Complainant 1's license into the PC monitor. Officer 1 then exits the vehicle and approaches the pick-up truck again while putting gloves on. At 9:27pm (00:47 of the video), Officer 3 turns to look at Officer 1 briefly, and is instructed by Officer 1, to have Complainant 1 to turn off the vehicle. Complainant 1 can be heard stating, "sure, but 1 don't know what's going on" as Officer 1 moves closer to the driver side front door and grabs the door handle. At 00:55 of the video, Officer 1 requests that Complainant 1 complies while stating, "Ok, but I don't know what's going on". Immediately upon exiting the vehicle. Complainant 1 asks Officer 1 what sir?" but is given no answer. Officer 1 then instructs Complainant 1 to "take a step out of the car". Complainant 1 complies while stating, "Ok, but I don't know what's going on". Immediately upon exiting the vehicle, Officer 1 grabs Complainant 1 is at a son ly "do me a favor and put your hands behind your back, you're just detained for now alright?" There is communication between Officer 1 and Officer 3 about the need to "double cuff" as a Complainant 1 jokingly states to Witness 1 was of Complainant 1 while asking if has any weapons on complainant 1 as a part of Complainant 1 while asking if has any weapons on complainant 1 and officer 3 about the need to "double cuff" asks Complainant 1 about the weapon to which Complainant 1 went on to inform Officer 1 asks Complainant 1 about the weapon to which Complainant 1 went on to inform Officer 1 that the gun was in the bandaging wrapped around states, "double cuff" asks Complainant 1 about the weapon to which Complainant 1 went on to inform Officer 1 that the gun was in the bandaging wrapped around stomach, which covered supunshot wound. Officer 1 then instructs another officer to go to the other side of the vehicle and grab Witness 1 because is not free to leave. Officer 1 speaks into gunshot wound. Officer 1 stat		
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to go once a search of the vehicle is complete. Officer 1 escorts Complainant 1 to the passenger back door of vehicle and asks to sit in the car. At 9:29p (3:30 of the		life after being shot by an unidentified person. Officer 1 states that understands.
passenger back door of vehicle and asks to sit in the car. At 9:29p (3:30 of the		Officer 1 announces to fellow officers that the Witness 1, the passenger will be free
		to go once a search of the vehicle is complete. Officer 1 escorts Complainant 1 to the
		passenger back door of vehicle and asks to sit in the car. At 9:29p (3:30 of the
video), Complainant 1 is having some issues getting into the vehicle but reassures the		video), Complainant 1 is having some issues getting into the vehicle but reassures the
officers that is not being argumentative. Officer 1 acknowledges that Complainant 1		officers that is not being argumentative. Officer 1 acknowledges that Complainant 1
has been cooperative by stating "Dude! Dude, you've been cool with me, I'll be cool		
with you man." Officer 1 then goes to the back of the vehicle where another Officer 3 is		
examining the confiscated firearm. Officer 1 asks Officer 3 if they need a "tech", and		examining the confiscated firearm. Officer 1 asks Officer 3 if they need a "tech", and
Officer 3 says no then reveals that the gun had no round in the chamber but 18 rounds in		
the magazine. Officer 1 further physically inspects the firearm confirming that it is not		the magazine. Officer 1 further physically inspects the firearm confirming that it is not
loaded. Officer 1 then acknowledged the make of the firearm by saying "Canik? Never		
heard of that." This video concludes with Officer 1 placing the firearm on the trunk of		heard of that." This video concludes with Officer 1 placing the firearm on the trunk of



Evidence	Description
Evidence	RPD vehicle then walking up to the driver side of Complainant 1's truck which is still
	being searched by the other officers. Officer 1 states: "Yea, we're going to toss it. Just do
	a really good look over of it please." It is unclear what Officer 1 is referring to when
	states, "we're going to toss it". The video ends at 9:30pm.
Officer 2	This video begins with Officer 2 exiting the passenger seat of the RPD vehicle
BWC Video 2	and approaching the passenger side of Complainant 1's truck where Officer 4 is standing.
	Officer 4 is explaining to Complainant 1 that Officer 1 said did not see signal
Recorded_Saturday,	properly, to which Complainant 1 replies that may have signaled late but did
November 12, 2022	indeed signal. Officer 4 says, "regardless of that your registration is expired." At 9:27pm
9:26:24p-9:38:43p,	(1:02 of the video), Officer 1 instructs Complainant 1 to exit the vehicle, which does
provided by RPD.	while stating, "I don't know what's going on." Officer 2 states, "we'll explain it to you
	man". Complainant 1 is immediately handcuffed upon exiting the vehicle. While this is
	happening, Witness 1 expresses disbelief at the current predicament by stating, "This is
	crazy man. I'm on my way to the house, going to get my food then going home." Complainant 1 echoes these words and jokingly says to Witness 1 "do you see why I
	wanted to stay home?" to which Witness 1 replies "yea man, this shit is crazy."
	Complainant 1 is asked if has a weapon on and responds in the affirmative while
	explaining that had been shot before and was in fear for life. Officer 2 then walks
	from the passenger side of the truck, around the rear to the driver side where is
	redirected by Officer 1 to return to the passenger side and "grab" the passenger. Officer 2
	returns to the passenger side as Witness 1 is exits the vehicle with hands up. Officer 4
	immediately handcuffs Witness 1 then begins to search Witness 1 with the assistance of
	two other RPD Officers as Officer 2 stands by. Witness 1 is asked about possession of
	weapons, which denies having and states there are no other weapons in the vehicle.
	Officer 1 asks if Witness 1 has a gun and the other Officers said no. When the search is
	complete, Officer 4 walks Witness 1 to one of the RPD vehicles. As they walk by Officer
	1 who is instructing Complainant 1 on the best way to get into the RPD vehicle, Officer 1
	informs Officer 4 that Witness 1 will be free to leave as soon as they confirm there are no
	other weapons in the vehicle. Complainant 1 is standing in the door of the car having
	some issues getting into the vehicle but reassures the officers that is not being argumentative. Officer 1 acknowledges that Complainant 1 has been cooperative by
	stating "Dude! Dude, you've been cool with me, I'll be cool with you man." Officer 1
	then goes to the back of the vehicle. Complainant 1 reiterates that only had the gun for
	protection, and is not going to argue about anything because does security so is
	not against them. Complainant 1 attempts to get into the vehicle and is unsuccessful.
	Complainant 1 explains to Officer 2 that has had many medical complications which
	is is still dealing with in the aftermath of being shot the year prior. Complainant 1
	goes onto say that lack of mobility because of the shooting and current body build
	makes it difficult and painful to get into the RPD vehicle while handcuffed behind
	back. Officer 2 acknowledges what Complainant 1 is saying but retorts that has to get
	in the vehicle and though it may be difficult, there are no other vehicles available.
	Complainant 1 states that is not going anywhere to which Officer 2 responds, "The
	faster you get in the car, the faster we can get this done". Complainant 1 expresses a need
	for help because fears that will fall backward and tells Officer 2 that does not
	understand. At Officer 2's encouragement, Complainant 1 once again attempts to sit in



Evidence	Description
	the vehicle and sits partially onto the seat. Officer 1 returns to engage with Officer 2 and another officer about the issue of transporting Complainant 1. Officer 1 recommends taking the SUV, which may have a bigger "cage". The other officers convene near the trunk of the vehicle leaving Officer 2 alone with Complainant 1. Complainant 1 asks if can get an appearance ticket instead of being taken to jail and is told that it is not an option but can see the judge on Monday. Complainant 1 asks to contact father and the officer stated "not right now". Officer 1 returns to address Complainant 1 not being able to get into the vehicle. Complainant 1 states that can fit if they will help the At 9:35pm (8:40 of this video), Officer 6 approaches Complainant 1, activates BWC device and asks what's up?" Complainant 1 admits that was pulled over with an illegal firearm and explains only having it for protection because was the victim of a shooting. Complainant 1 explains to Officer 6 that still has medical issues from the shooting and wants to know if there is any way to get an appearance ticket for court instead of having to go to jail. Officer 6 explains to Complainant 1 that after something like this, the officers have to take to a police station, to sit in a room and speak to an investigator about options, but assures Complainant 1 that this cannot be done on the side of the road. Complainant 1 expresses difficulty with getting into the vehicle and Officer 6 states "We'll take care of it ok? Just give me a few minutes, I'll figure it out." There is further discussion between Officer 1 and Officer 2 about the size of the SUV cage and if it would make a difference. Complainant 1 states that fingers are hurting. Officer 6 returns to inform Complainant 1 that it will take a few minutes to arrive but they have a van showing up which will be more comfortable. Complainant 1 asks if can stand because is in pain and for permits to do so if leans against the vehicle. Complainant 1 begins to exit the vehicle while grimacing and s
Officer 5 BWC Video 1	Officer 5 exits vehicle then walks by RPD vehicle 297 and approaches the pick-up truck. Footage reveals at least one officer standing outside of the driver door with a
	handcuffed Complainant 1. Video also shows two officers standing at the
Recorded Saturday,	passenger door of the vehicle. One of the officers at the passenger door walks away as
November 12, 2022 9:27:24p-9:30:39p, provided by RPD.	Officer 5 approaches the passenger side of the vehicle. The officer closest to the vehicle is speaking with the passenger seated in the passenger seat of the vehicle. The officer closest opens the door of the vehicle and the passenger whose arms are raised
	upward with palms opened informs the officer that is wearing a seatbelt. The officer instructs the passenger to unbuckle the seatbelt then step out of the vehicle. The
	passenger complies. The officer asks the passenger if has any weapons on to
	which the passenger replies, "no sir". The passenger exits the vehicle and faces the
	vehicle while the officer handcuffs Once the passenger is in handcuffs, the officer asks do you have any firearms on you?" to which the passenger replied, "No sir".
	The officer then asks, "Are there any firearms in the vehicle?" to which the passenger
	replied, "No sir". The officer begins to search the passenger's pants and jacket pockets.
	Another officer asks if the passenger has a gun on and the officer searching the
	passenger says no. The passenger is the officer then walks away with the passenger. That officer comes back and begins to search the vehicle from the passenger side while
	Officer 5 begins searching from the driver side. Video ends at 9:30pm with Officer
	grabbing an unknown item. (Possibly a canister of mace/pepper spray).



Evidence	Description
Evidence Officer 6 BWC	Description This video inexplicably begins with immediate audio and video at 9:35pm. Officer 6 is
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<u>Video 1</u>	speaking to Complainant 1 as sits partially into the RPD vehicle. Officer 6 asks Complainant 1 "what's up?" Complainant 1 admits to being pulled over with an illegal
Decembed Cotymdox	
Recorded Saturday,	firearm, and then explains that has it for protection because was the victim of a
November 12, 2022	shooting. Complainant 1 explains to Officer 6 that still has medical issues from the
9:35:12-9:36:26p,	shooting and wants to know if there is any way to get an appearance ticket for court
provided by RPD.	instead of having to go to jail. Officer 6 explains to Complainant 1 that after something
	like this, the officers have to take to a police station, to sit in a room and speak to an
	investigator about options, but assures Complainant 1 that this cannot be done on the
	side of the road. Complainant 1 expresses difficulty with getting into the vehicle and Officer 6 states "We'll take care of it ok? Just give me a few minutes, I'll figure it out."
Officer 5 DWC	At 9:26pm, the video concludes with Officer 6 walking away. This video begins at 9:38pm and shows Officer 5 approaching RPD vehicle #297 from
Officer 5 BWC Video 2	the rear as other Officers speak to a handcuffed Complainant 1 who is standing outside
VIUCO Z	of the vehicle, leaning against the vehicle. Complainant 1 is explaining to the officers
Recorded Saturday,	how life has changed since was shot. Complainant 1 tells the officers that died
November 12, 2022	and was brought back on way to the hospital. Complainant 1 further states, was
9:38:09p-10:04:39p,	kept in an induced coma for two and a half months at the hospital. Complainant 1
provided by RPD.	expressed concern n about being in jail given medical issues, which make it difficult
provided by Ri B.	to sleep in wown personal bed at home. RPD reassures Complainant 1 will have
	access to a nurse and medical accommodations while in custody, and if needs to
	transport to the hospital for additional care, it will be arranged. Complainant 1 inquires
	about vehicle and is informed that it will be towed, but can be recovered Monday. At
	9:49pm, Complainant 1's passenger and friend Witness 1 has been released and walks
	by. Complainant 1 asks Witness 1 to contact father and let know what happened,
	which Witness 1 agrees to do. Complainant 1 and the officers continue to wait for the
	RPD van. Complainant 1 expresses that the handcuffs were hurting and one of the
	officer's attempts to adjust them on hands somewhat, which provided some relief
	according to Complainant 1. The officers talk more about the vehicle and the size of the
	"cage". Complainant 1 suggests that can sit with hands to side and assures the
	officers intends to be cooperative and will not try to escape. The officers inform
	Complainant 1 that this is not possible. At 9:53pm, The officers discuss if the Impala
	would better accommodate Complainant 1's medical needs and Complainant 1 agrees to
	try and does but is unsuccessful and expresses a great deal of pain during and after the
	attempt. Complainant 1 makes another attempt soon after and painfully enters the back of
	Officer 5 vehicle at 9:56pm. Officer 5 immediately gets into the vehicle and begins
	driving. Officer 5 announces to the dispatch that is transporting a to the RPD
	East facility. Officer 5 asks Complainant 1 if would like some air, to which
	Complainant 1 replies yes. Officer 5 then let down the window. Complainant 1 expresses
	more pain and discomfort as Officer 5 assures that they have done everything they
	can to make comfortable and they will be arriving at the facility soon. They arrive at the facility at 10pm. Officer 5 exits the vehicle and is greeted by Officer 1 who
	commends by stating, "yo you're the man dude, thank you". Officer 5 responds "of
	course" then requests assistance to help Complainant 1 exit the back of the vehicle.
	Complainant 1 expresses pain and discomfort related to the tightness of the handcuffs on
	Complaniant 1 expresses pain and disconnect related to the fightness of the fighteens of



Evidence	Description	
	wrist. The officer encourage to try to get a foot out of the car, at which point they will help exit without falling, and fix the cuffs. Complainant 1 successfully exits the vehicle with the help of the officers and again mentions the tightness of the cuffs. The	
	officers respond by assuring Complainant 1 that they will remove the cuffs as soon as they enter the building. They enter the building and the officers remove the cuff from one hand then cuff Complainant 1 to the table as has a seat. The video ends with Officer 5	
	loosening the handcuff on Complainant 1's left wrist as much as could according to The officers offer Complainant 1 water and the video ends at 10:04pm.	
Officer 2	Recorded_Saturday, November 12, 20229:44:20p-9:46:48p. This video shows Officer 2	
BWC Video 3,	confirming the make, caliber and serial number of the recovered firearm for another	
provided by RPD.	officer that appears to be Officer 6. Officer 2 also confirms that there were two magazines recovered. One of them with 18 rounds, and the other with 16 rounds.	
Officer 2	This video starts at 9:46pm and shows Officer 2 having a conversation with two officers	
BWC Video 4	in their RPD vehicle before leaving the scene. Officer 2 then joins the other officers waiting with Complainant 1 who is standing and leaning beside the RPD vehicle they	
Recorded_Saturday,	originally tried to put in. Complainant 1 is explaining to the officers how life has	
November 12, 2022	changed since was shot. Complainant 1 tells the officers that died and was brought	
9:46:51p-9:50:06p,	back on way to the hospital. Complainant 1 further states, was kept in an induced	
provided by RPD.	coma for two and a half months at the hospital. Complainant 1 expressed concern about being in jail given medical issues, which make it difficult to sleep in wom personal	
	bed at home. RPD reassures Complainant 1 will have access to a nurse and	
	medical accommodations while in custody, and if needs to transport to the hospital for	
	additional care, it will be arranged. Complainant 1 inquires about what will happen to	
	vehicle and is informed that it will be towed and can be recovered Monday. The video	
	concludes at 9:50pm with Witness 1 walking away once released. Complainant 1 asked	
Officer A DWC	Witness 1 to contact father and let know what happened.	
Officer 4 BWC Video 2	This video begins at 9:49pm with Witness 1 standing outside of the RPD vehicle while Officer 4 unlocks handcuffs. An officer asks Witness 1 if there is anything they can	
Video 2	get and responds that lives around the corner. Officer 4 then says, "here's you	
Recorded Saturday,	ID, I'll walk you to the car so you can get your stuff." Officer 4 and Witness 1 walk to	
November 12, 2022 the car while other Officers on scene are interacting with Complainant 1. Complete		
9:49:06p-9:53:47p,	asks Witness 1 to let dad know what happened, and Witness 1 assures that	
provided by RPD.	will. Witness 1 stops at the vehicle to gather things. While Witness 1 is gathering	
	things engages in sporadic dialogue with Officer 4 about Complainant 1 being a good and honest person who is only carrying the weapon for protection because was	
	shot, and the experience traumatized The video ends at 9:53pm.	
Officer 5 BWC	This video inexplicably begins with immediate audio and video at 10:46pm. Officer 5 is	
Video 3	holding a small note pad and ink pen as enters the room where Complainant 1 is held.	
	Officer 5 asks Complainant 1 about education level, relationship status, if has	
Recorded_Saturday,	children, if goes by another name, employment status and the contact information	
November 12, 2022	for mother. At 10:47pm (1:10 of the video) Complainant 1 asks, "Is there any way I	
10:46:37p-10:48:53p, provided by RPD.	can get that cup of water or something?" Officer 5 responds, "I'll work on it as soon as I'm done with these questions alright?" Officer 5 continues to question Complainant 1	
provided by KLD.	about tattoos, and parents contact info. Complainant 1 answers all the questions asked	
	of and Officer 5 writes the answers down then put s the notepad away while assuring	
	15	



Evidence	Description		
	Complainant 1 that will get a drink of water. Complainant 1, who is smoking a cigarette, says, told me twice already and gave me this (cigarette) which is making me more thirsty." Officer 5 again states, "I'll work on it as exits the room". The video ends at 10:48pm.		
Officer 5 BWC Video 4	This video inexplicably begins with immediate audio and video at 10:54pm. Officer 5 is holding a small note pad and ink pen as enters the room where Complainant 1 is held. Complainant 1 is noticeably in emotional distress and Officer 5 states, "we got water		
Recorded_Saturday,	coming, somebody's grabbing it right now alright?"". Complainant 1 states, "I feel like		
November 12, 2022	the room is closing in". Officer 5 once again tells Complainant 1 that they have water on		
10:54:14p-10:55:08p,	the way. Officer 5 with pen and pad in hand then asks Complainant 1 for father's		
provided by RPD.	contact information. Complainant 1 provides a phone number and then states that is claustrophobic and feels like the walls are closing. Officer 5 assures Complainant 1 that will not be here much longer. Complainant 1 says "I can't do this" and then requests		
	to be taken to the hospital as Officer 5 exits the room. This video ends at 10:55pm.		
Officer 5 BWC	This video inexplicably begins with immediate audio and video at 11:01pm. Officer 5 is		
Video 5	in the room watching another officer remove the handcuff from the table and re-handcuff Complainant 1's other hand. Complainant 1 is inquiring about why was not informed		
Recorded Saturday, of expired registration previously from other officers that recently stopped			
November 12, 2022 driving. The officers do not provide an answer to this. Complainant 1 is then esco			
11:01:57p-11:14:33p,	from the room back outside the building. Complainant 1 asks, "I thought was getting		
provided by RPD.	some water for me?" to which Officer 5 again states, "is, we'll grab it. It's on its		
	way." Officer 5 tells Complainant 1 "we've gotta do the same thing as before man"		
	referring to how Complainant 1 would enter the vehicle. Complainant 1 became		
	distraught and stated, "I'm not alright". An unknown officer is standing aside the RPD vehicle. Officer 1 is present and can be heard speaking. Complainant 1 restates that		
	was in the hospital and asks why cannot be taken to the hospital. Complainant 1 says		
	does not mind waiting longer to go to court. The unidentified officer says, "we can't		
	do that". Complainant 1 then begins to enter the vehicle with assistance from Officer 5,		
	Officer 1 and the unknown officer. Complainant 1 is showed a water bottle and told		
	"we'll give it to you when you get downtown". Complainant 1 is taking time getting		
	into the vehicle and requesting that the officers allow to pace self. As		
	Complainant 1 attempts to enter the vehicle, Officer 1 states "I'll let you guys handle this		
	and begins to walk away. Complainant 1 gets into the car and Officer 5 immediately gets		
	into the drive seat and asks Complainant 1 if wants the windows open. Complainant 1		
	answers in the affirmative and Officer 5 lets the window down. Officer 5 informs		
	dispatch that is transporting a to booking. Officer 5 informs Complainant 1 that		
	will try to drive gently again. As the car moves, Complainant 1 is groaning and grunting and expressing that is in pain. At 11:08 (6:44 of the video), Complainant 1		
	says, "I just don't understand how I got stopped last week And they said it was		
	because they got a stolen black dodge ram. They followed us from Clifford, and I was		
	going to my house and they didn't say nothing about the registration. Why didn't they		
	say anything about the registration that day?" Officer 5 responded by stating, "I'm not		
	familiar with that." Complainant 1 then asked, "would that stop be in the record?" to		
	which Officer 5 replied "no". Officer 5 then went on to say "All I know is you got pulled		
	over and it came up expired alright? I wasn't even the one that did the traffic stop."		



Evidence Description		
	Complainant 1 again inquires about the previous stop by stating, "I'm just wondering	
	like so if you don't issue a ticket or citation, or anything, it doesn't go into your record	
	for (getting) pulled over?" Officer 5 responds that does not understand what	
	Complainant 1 is asking but states that the officers may not have ran the registration	
	because they were focused on the stolen vehicle issue. Complainant 1 states that this is	
	mindboggling to because believes that the expired registration would have come	
	up when they checked the plates, and if they had told would have fixed it.	
	Complainant 1 says will ask the lawyer and the judge about this because does not	
	understand why did not get a ticket when was stopped the week prior. Officer 5	
	again stated that is not familiar but asserts that it is the officer's discretion to write	
	tickets or not write tickets. Officer 5 further states, the previous officers may or may not	
	have known the registration was expired but "at the end of the day they're not required to	
	write tickets on anything". Complainant 1 then asks if legally the officers are supposed to	
	tell to fix something wrong with the vehicle during the stop. Officer 5 states that	
	does not know and is not very familiar with the vehicle registration process as far as how	
	Complainant 1 would be notified. Complainant 1 then explicitly asks Officer 5 if	
	pulled someone over, and checked the vehicle on the computer, if it would show an	
expired registration. Officer 5 responds in the affirmative, but adds, "if I looked		
Complainant 1 repeats that the officer who stopped the week prior looked		
	and told everything was fine. Officer 5 says because they were looking to see if	
	vehicle was stolen and probably were not worried about anything else. The vehicle	
	arrives at the facility and Officer 5 alerts dispatch that has one As Officer 5 enters the garage, Complainant 1 speaks to Officer 1 who is already in the garage and	
	says, "I've got a couple questions for you". Officer 1 says ok. Officer 5 parks, then	
	and Officer 1 assist Complainant 1 with exiting the vehicle as an unidentified officer	
	stands by. The video ends at 11:14pm with Complainant 1 sharing more about	
	medical condition.	
Officer 1 BWC	This video begins at 11:05pm and shows Complainant 1 standing outside of a RPD	
Video 3	vehicle beside two RPD officers. Officer 1 approaches Complainant 1 and the audio	
	begins at 00:30. The Officers are transporting Complainant 1 from one RPD facility to	
Recorded_Saturday, another requiring to get back in an RPD vehicle while handcuffed. Complaina		
November 12, 2022	having difficulty entering the RPD vehicle, and Officer 1 began to instruct Complainant	
11:05:49p-11:06:45p,	1 on the best way to enter the vehicle along with the other officers. The video concludes	
provided by RPD. at 11:06pm with Complainant 1 sitting down in the vehicle while Officer 1 walks a		
I/NetViewer Event	This event form generated from the street for a traffic stop (TSTOB) at	
Unit Form	St/Bernard St. Officers 2 and 1 are listed as the unit dispatched at 9:24pm. Officers 4, 3,	
E2231602454,	5, and Officer 6 are listed as involved in the event.	
provided by RPD.	This event form generated from the street for a traffic star (TSTOD) at	
<u>I/NetViewer Event</u> Information Form	This event form generated from the street for a traffic stop (TSTOB) at St/Rernard St on November 12, 2022 at 9:24pm. The form documents the recovery of a	
E2231602454,	St/Bernard St on November 12, 2022 at 9:24pm. The form documents the recovery of a handgun and request to tow the vehicle.	
provided by RPD.	nanagan and request to tow the venicle.	
RPD Incident Report	This incident report was created on November 12, 2022 at 9:28pm for Criminal	
(CR#: 2022-238566),	Possession of a Weapon, which violates NYS Penal Law 265.02 at the incident address	
provided by RPD.	of 119 Street. The report was completed by Officer 1 and reviewed by Officer 6	
provided by Id D.	Street. The report was completed by officer 1 and reviewed by officer o	



Evidence		
Evidence	Description on November 13, 2022. The report reveals Complainant 1's name, address and physical build. The report also reveals the make and model of the handgun with the amount of ammunition discovered. This report also reveals the make, model and other corresponding info of the vehicle driven by Complainant 1. Officer 1 details the event as follows: "On 11/12/2022, I was operating in the capacity as a fully uniformed Rochester operating a marked police vehicle with Officer 2. While in the area of Wilkins St and st, I watched a 2004 Dodge Ram 1500 pickup truck bearing NYS reg HLH1156 fail to signal in a southbound direction down st. I activated my emergency lights to initiate a traffic stop on the above vehicle. Upon making contact with the driver (A) Complainant 1 I notified why the stop was being conducted and provided me with NYS driver's license. I went back to my patrol vehicle and saw that (A) had a valid NYS driver's license but the registration on the vehicle had been expired. I asked (A) to step outside the vehicle so that I could conduct a search of the vehicle incident to tow. When (A) stepped out of the vehicle I asked if had any weapons on (A) answered yes and told me that was armed with a handgun in waist band that was wrapped around stomach. I asked (A) if possessed a valid NYS pistol permit to which does not have. (A) was then placed under arrest and transported back to the East Side office. Once inside interview	
	room 123, I went into speak with (A) about the above incident and requested a lawyer before speaking to me. (A) was later transported without incident to MCJ. (sic) responded to the scene as the commanding officer. (A)'s vehicle was towed due to the expired registration after being searched by officers. Firearm information is as follows (1) Canik Arms model TP9 loaded with no rounds in the chamber and (18) in the magazine, serial:AP10987 which was negative 29 per records check. Another magazine was located inside the center consol (sic) of the vehicle which contained (16) 9mm rounds. I turned all property pertaining to the case to the property at the public safety building."	
RPD Discovery Checklist (CR#: 2022-238566), provided by RPD.	This document reveals completion of the following documents were completed as part of Complainant 1's arrest: Accusatory Instrument, Criminal History (File 15, Driver's License, etc.), Incident Report, Interview Form, Miranda Warnings / Rights Card, Officer Notes (a copy), Prisoner Data Report, Property Custody Reports, Towed Vehicle Report, Uniform Traffic Tickets. This document also reveals that the following documents were "Sent to DA": Accusatory Instrument, Incident Report, Interview Form, Miranda Warnings / Rights Card, Officer Notes (a copy), Prisoner Data Report, Property Custody Reports, Supporting Depositions, Technician Report, Uniform Traffic Tickets. Officer 6 is the reviewing supervisor who signed this document on 11/13/2022.	
RPD Grand Jury Referral, RPD 1186 (CR#: 2022-238566), provided by RPD.	This document lists the charges as Criminal Possession of a Weapon in the second and third degree. This document identifies Complainant 1 as the defendant, and Officer 1 as lead investigator and complainant. This document reveals that Officer 3 collected photographs. Officers 1, 2, 4, 3 and 5 collected body Worn Camera. Tow Report/Inventory completed by Officer 4.	
Felony Complaint CPW 2nd (CR#: 2022-238566), provided by RPD.	This document reads, "Your complainant, Officer 1, being duly sworn, deposes and states that I work at the premises known as 185 Exchange Blvd in the City of Rochester, States of New York. That on the 12 day of November, 2022, at approximately 9:28 PM at the premises known as 119 St. in the City of Rochester, States of New York, I	



Evidence Description	
Felony Complaint CPW 3rd (CR#: 2022-238566), provided by RPD.	accuse said defendant Complainant 1 unlawfully committing the class D Felony of Criminal Possession of a Weapon in the second degree, in violation of Section 265.02, subdivision 1 of the Penal Law of the State of New York. The factual basis for the above being upon personal knowledge, as follows: possesses any loaded firearm. Such possession shall not, except as provided in subdivision one or seven of section 265.02 of this article, constitute a violation of this subdivision if such possession takes place in such person's home or place of business. To wit the defendant, possess a loaded Century Arms Canik semiauto pistol, with 18 rounds. The firearm appeared to be operable and in good working condition. The defendant does not possess a pistol permit. This document reads, "Your complainant, Officer 1, being duly sworn, deposes and states that I work at the premises known as 185 Exchange Blvd in the City of Rochester, States of New York. That on the 12 day of November, 2022, at approximately 9:28 PM at the premises known as 119 St. in the City of Rochester, States of New York, I accuse said defendant Complainant 1 Unlawfully committing the class D Felony of Criminal Possession of a Weapon in the third degree, in violation of Section 265.02, subdivision 1 of the Penal Law of the State of New York. The factual basis for the above being upon personal knowledge, as follows: complainant states that on or about the above listed date, time and place the defendant did commit the crime of Criminal Possession of a Weapon in the fourth degree as defined in Section 265.01, subdivision 1, 2, 3 or 5 of section 265.01, and having a previous conviction of any crime on 1/10/2012. To wit the defendant possessed a loaded Century Arms Canik semiauto pistol, with 18 rounds. The firearm appeared to be operable and in good working condition. The defendant does not possess a pistol permit. The defendant was previously convicted of Endangering the welfare of a Child, NYS PL 260.20(1), on 1/10/2012. That by the above actions, the defenda
RPD Property Custody Report, provided by RPD. Provided by RPD.	This document describes the firearm taken into custody by make, model, serial number and ammunition.
RPD Tow Report, RPD 1212 (CR#: 2022-238566), provided by RPD.	This document describes the make, model and other details related to the towing of Complainant 1's vehicle on the night of arrest.
RPD Interview Form, provided by RPD.	This form reveals that at 10:00pm, RPD Officers began transporting Complainant 1 from the location of the traffic stop to the RPD office at 630 North Clinton where they arrived at 10:05pm. Officers 2 and 5 were present for the interview, which began at 10:08pm and ended at 10:12pm. RPD officers again began transporting Complainant 1 at 11:00pm to the Monroe County Jail located at 130 South Plymouth where they arrive and began the booking process at 11:10pm.
Rochester Police Department Notification and Waiver RPD 1185, provided by RPD.	This document confirms that Complainant 1 was told of Miranda rights, affirmed understand and expressed that did not want to talk with the officers without a lawyer. Officers 2 and 1 are listed as the interviewing members.



Evidence Description		
Uniform Traffic Ticket / Supporting Deposition 1, provided by RPD.	This is a uniform traffic ticket and supporting deposition charging Complainant 1 for an improper/no signal on 11/12/22. Officer 1 is the complainant and writes, "On the above date and time, I observed the defendant failure to signal south bound on St. at Wilkins St. Upon making the traffic stop I learned that the vehicle registration was expired."	
Uniform Traffic Ticket / Supporting Deposition 2, provided by RPD.	This is a uniform traffic ticket and supporting deposition charging Complainant 1 for an unregistered motor vehicle on 11/12/22. Officer 1 is the complainant and writes, "On the above date and time, I observed the defendant failure to signal south bound on St. at Wilkins St. Upon making the traffic stop I learned that the vehicle registration was expired."	
Officer 5 incident notes, provided by RPD.	This note lists Complainant 1's personal information collected by Officer 5.	
Officer 1 incident notes, provided by RPD.	This note lists Complainant 1's personal information collected by Officer 1.	
RPD Prisoner Data Report (MoRIS #304069), provided by RPD.	This document details the arrest and related charges.	
Monroe County Crime Laboratory Firearms Report, provided by RPD.	This report details the lab assessment of the confiscated firearm by Forensic Firearms Examiner.	
NYS VAT Law CHAPTER 71, TITLE 7, ARTICLE 28(b)	A signal of intention to turn right or left when required shall be given continuously during not less than the last one hundred feet traveled by the vehicle before turning.	
NYS VAT CHAPTER 71, TITLE 4, ARTICLE 14(a)	No motor vehicle shall be operated or driven upon the public highways of this state without first being registered in accordance with the provisions of this article, except as otherwise expressly provided in this chapter.	
07/10/2024 Officer Statement Request for Officer 1	A notice sent to RPD Officer 1 from PAB Investigator on July 10, 2024. The notice requests an interview or written statement from Officer 1 regarding allegations of police misconduct, which occurred on or about November 12, 2022.	
O7/10/2024 Officer Statement Request for Officer 2	A notice sent to RPD Officer 2 from PAB Investigator on July 10, 2024. The notice requests an interview or written statement from Officer 2 regarding allegations of police misconduct, which occurred on or about November 12, 2022. A notice cent to RPD Officer 3 from PAB Investigator on July 10.	
07/10/2024 Officer Statement Request for Officer 3 07/10/2024 Officer	A notice sent to RPD Officer 3 from PAB Investigator on July 10, 2024. The notice requests an interview or written statement from Officer 3 regarding allegations of police misconduct, which occurred on or about November 12, 2022. A notice sent to RPD Officer 4 from PAB Investigator on July 10,	
Statement Request for Officer 4	A notice sent to RPD Officer 4 from PAB Investigator on July 10, 2024. The notice requests an interview or written statement from Officer 4 regarding allegations of police misconduct, which occurred on or about November 12, 2022.	



Evidence	Description		
<u>07/10/2024 Officer</u>	A notice sent to RPD Officer 5 from PAB Investigator on July 10,		
Statement Request	2024. The notice requests an interview or written statement from Officer 5 regarding		
for Officer 5	allegations of police misconduct, which occurred on or about November 12, 2022.		
07/10/2024 Officer	A notice sent to RPD Officer 6 from PAB Investigator on July 10,		
Statement Request			
for Officer 6	allegations of police misconduct, which occurred on or about November 12, 2022.		
P.S.S. case No. 21- 1323 re: 1, Officer [Redacted]	This is a redacted copy of the Professional Standards Service investigation into Officer 1, signed by Interim Chief David M. Smith on November 10, 2021. Officer 1's involvement in an RPD fleet vehicle accident on or about September 13, 2021 prompted investigation. Officer 1 is considered guilty of conduct, which violated RPD General Order 345 and RPD Rule 4.18 according to this report. As a result, a letter of reprimand issued to Officer 1 on December 8, 2021 from Assistant Chief of Police. PAB obtained a copy of this report from the Police Department Discipline Database, which is available to the public in response to the June 2020 repeal of Section 50a of NYS Civil Service Law. The details of the report are not relevant to this PAB investigation and have not been considered during the course of the investigation with the exception of disciplinary action. In accordance with the disciplinary matrix, the disciplinary history of		
	an officer will be considered when assessing an appropriate penalty resulting from the current investigation.		
PAB Investigative	This note documents a phone call between the investigator and Complainant 1, which		
Case Note - 2023-	took place on January 25, 2024 to provide a status update of the case, gather additional		
0005-01.	information and schedule an in-person interview at the PAB office. Complainant 1 acknowledged the information received and begun to offer additional info regarding		
	this incident. Complainant 1 informed the Investigator that does not believe signaling		
	late was the real reason was pulled over on 11/12/22. Complainant 1 states that was		
	pulled over multiple times previously, including on the Saturday prior to the incident by		
	"Officer cousin". Complainant 1 went on to say that during this stop; no		
	mention was made of the expired vehicle registration. Complainant 1 asserted that		
	was targeted by the police and according to friend Witness 1 (the passenger was		
	pulled over with), while detained overheard an officer stating that Complainant 1 was		
	pulled over based on "suspicion". According to Complainant 1, was encouraged to		
	plead out for the weapon but wanted to take it to trial, believing that there was no		
DAD Investigation	reason to pull over. This note documents that Complainant 1 requested to postnone the in person interview at		
PAB Investigative Case Note - 2023-	This note documents that Complainant 1 requested to postpone the in-person interview at		
<u>Case Note - 2023-</u> 0005-02.	the PAB office originally scheduled for February 22, 2024 due to health reasons. A phone call took place on April 3, 2024 between the investigator and Complainant 1.		
0003-02.	During this phone call, Complainant 1 stated that was ready and able to reschedule the		
	in-person interview. The interview was scheduled for April 10, 2024. Before the phone		
	call ended, Complainant 1 expressed concerns that had not heard anything about		
	criminal case or subsequent trial from attorney since March of 2023. Complainant 1		
	also asked what the timeframe is related to criminal case and trial dates. Investigator		
	informed Complainant 1 that PAB is not involved in the criminal case but seeks to		
	determine if the officer involved adhered to the law, RPD rules and general orders the		
	night was charged. Investigator asked if Complainant 1 had reached out to		
	attorney to get an update, to which Complainant 1 replied that had not. Investigator		
	21		



Evidence	Description		
	reiterated that the Attorney's responsibility is to represent in the criminal case or		
	answer questions for related to the trial but PAB is not at all involved in that process		
	Investigator also informed Complainant 1 that if wanted PAB to communicate with		
	attorney and share information, would first have to permit attorney to speak to		
	PAB. Complainant 1 stated that would reach out to attorney for updates regarding		
	criminal case. Complainant 1 also expressed that would permit attorney to		
	communicate with PAB.		
Complainant 1	This voluntary interview was held at the PAB office interview room on April 10, 2024.		
<u>04.10.2024 Interview</u>	PAB Investigators and conducted the interview with		
	Complainant 1 Complainant 1 consented to audio and video recording of		
	the interview before it began. Complainant 1's father was present at the time of the		
	interview, which concluded after 49 minutes.		
Complainant 1 map,	A Google Maps image of the area where the traffic stop and subsequent arrest took place.		
created at PAB	During the interview, Complainant 1 used a marker to illustrate the path of vehicle,		
<u>04.10.2024</u>	the point at which executed the right turn, and where exactly was pulled over.		
Witness 1 04.30.2024	This voluntary interview was held at the PAB office interview room on April 30, 2024.		
<u>Interview</u>	PAB Investigators and conducted the interview with		
	Witness 1. Before the interview began, Witness 1 consented to audio and video recording		
	of the interview, which concluded after minutes.		
Witness 1 map,	A Google Maps image of the area where the traffic stop and subsequent arrest took place.		
created at PAB	During the interview, Witness 1 used a marker to illustrate the path of the vehicle, the		
04.30.2024	point at which the driver executed the right turn, when noticed the officers, and where		
	exactly they were pulled over.		

APPLICABLE RULES & LAWS

RPD Rule 4.6 Truthfulness

Employees are required to be truthful in speech and writing, whether or not under oath.

The Fourth Amendment of the U.S. Constitution

The right of the people to be secure in their persons, houses, papers, and effects, against unreasonable searches and seizures, shall not be violated, and no Warrants shall issue, but upon probable cause, supported by Oath or affirmation, and particularly describing the place to be searched, and the persons or things to be seized.

NYS Criminal Procedure Law § 70.10 Standards of Proof; definition of terms

- 1. "Legally sufficient evidence" means competent evidence which, if accepted as true, would establish every element of an offense charged and the defendant's commission thereof; except that such evidence is not legally sufficient when corroboration required by law is absent.
- 2. "Reasonable cause to believe that a person has committed an offense" exists when evidence or information which appears reliable discloses facts or circumstances which are collectively of such weight and persuasiveness as to convince a person of ordinary intelligence, judgment and experience that it is reasonably likely that such offense was committed and that such person committed it. Except as otherwise provided in this chapter, such



apparently reliable evidence may include or consist of hearsay. Except as otherwise provided in this chapter, such apparently reliable evidence may include or consist of hearsay.

RPD Rule 2.15 Arrest

Members shall make arrests in full compliance and conformity with all laws and department procedures.

RPD General Order No. 585 Arrest

DEFINITION

Reasonable Cause: "Reasonable cause to believe that a person has committed an offense" exists when evidence or information which appears reliable discloses facts or circumstances which are collectively of such weight and persuasiveness as to convince a person of ordinary intelligence, judgment and experience that it is reasonably likely that such offense was committed and that such person committed it. NY Criminal Procedure Law (CPL), § 70.10-2. NOTE: This term may be used interchangeably with the term "probable cause."

II. POLICY

A. The authority to arrest, granted by the people of the State of New York to a police officer, carries with it the responsibility to exercise discretion, but that discretion is necessarily limited. A variety of circumstances (e.g., seriousness of conduct, willingness of the victim to prosecute with exception of domestic violence mandatory arrests, age of the suspect, recidivism), as well as various options (e.g., resolution, warning, referral, summons, appearance ticket, physical arrest), warrant due consideration prior to any action.

B. It is the policy of the Rochester Police Department (RPD) that no person will be arrested without reasonable cause to believe that an offense has been committed. Authority to arrest is strictly limited to those situations where the Criminal Procedure Law (CPL) of the State of New York authorizes an arrest.

RPD General Order No. 415 Searches

C. Pretext Stop: A pretext stop is a traffic stop where an officer relies on the fact that a vehicle operator has violated the vehicle and traffic law to justify the stop of the vehicle, but the actual motivation of the officer is to investigate some other matter for which there is no probable cause. In a pretext stop, the officer is essentially utilizing the vehicle and traffic law as a means to further an unrelated investigation. (People v. Robinson)

- D. Probable Cause ("Reasonable Cause"): Probable cause to search exists when facts and circumstances known to the officer provide the basis for a reasonable person to believe that a crime was committed at the place to be searched, or that evidence of a crime exists at the location. Probable cause to seize property exists when facts and circumstances known to the officer would lead a reasonable person to believe that the item is contraband, is stolen, or constitutes evidence of a crime. When probable cause is based on information from an informant, there must be sufficient grounds to conclude both that: (1) the informant was reliable; and, (2) the information was credible. Note: under the New York law, the term, "reasonable cause" is equivalent to the term "probable cause."
- E. Reasonable Suspicion: Is that suspicion based upon facts and any reasonable inferences that can be drawn in light of experience that lead one, as an ordinary and cautious person, to believe that some specific crime(s) or some specific criminal activity is being committed, was committed, or is about to be committed.

SEARCH INCIDENT TO ARREST EXCEPTION - The most often used exception is the search incidental to a physical arrest when the defendant is taken into custody. Upon making a valid arrest, an officer may conduct a full and complete search of the defendant. This is a much broader search and not limited to only weapons. Any



weapons or contraband discovered will be seized and appropriate charges considered. It must also be conducted incident to the arrest and not delayed. This also allows for a search of the area within the reach of the defendant (Chimel v. Cal).

RPD Training Bulletin L-26-98: Arrests for Violations

Criminal Procedure Law (CPL) Section 140.10 (1,2) states a police officer may arrest an individual for Harassment, Disorderly Conduct, simple Trespass or other petty offenses, when: "The Officer has reasonable cause to believe that such person has committed such offense in the Officer's presence." Officers are limited to making arrests for violations only when the offense is committed in the officer's presence. District Attorney Howard Relin stated there may be problems if this provision isn't followed. The "fruit of the poison tree doctrine" may also be invoked if the arrest is unlawful and/or unauthorized. Any evidence flowing from it would most likely be declared inadmissible. The officers and City Hall could also be subject to a civil suit.

RPD Training Bulletin L-32-99: Arrests for Traffic Violations

A recent decision by Justice Lunn of the Supreme Court, Monroe County, involved the ability of a police officer to make an arrest for a traffic infraction. In Musso v. Town of Greece, the driver of a motor vehicle sued the town and the arresting officer for False Arrest, deprivation of civil rights, and other causes of action. The driver had been stopped at a traffic checkpoint for "Failure to Wear a Seatbelt." The driver did not have driver's license was able to produce some identification to the police officer: a photo employee ID, valid insurance card, valid registration (driver did not own vehicle) The driver also phoned sister-in-law to bring license to The sister-in-law would be there in 20 minutes. The officer did a license check misspelling the driver's name. A dispatcher who entered the wrong DOB ran a group check of the name. Therefore, "no hit" on the person's license. The driver was handcuffed and taken to the police station and 10yr. old daughter was placed into another police vehicle where a relative interceded and picked up the child. The Court ruled that: An arrest for a traffic violation is only proper when the driver does not have identification sufficient to prepare a traffic summons. Summary judgment was therefore denied, as a factual question was raised as to whether the identification provided was sufficient. The Judge also questioned whether (using a reasonableness standard to evaluate the police conduct) it may have been more prudent for the officer to wait at the scene for the sister-in-law to arrive with the driver's license. In this case there was not an emergency situation and officer safety was not an issue. This ruling shows that full custodial arrest for minor violations should be made only in exceptional circumstances and that the Police Department will have the burden of justifying exceptions. The Judge comments that the more prudent course of action may have been to wait at the scene for the relative to bring the driver's license. In this case, a small amount of courtesy will go a long way in maintaining community relations and avoiding litigation. (Based on information from Jeff Eichner-Law Dept)

RPD General Order No. 336 Duty to Intervene

I. PURPOSE

It is the policy of the Rochester Police Department that all Members shall intervene and prevent or stop other Members from using unreasonable force or otherwise acting contrary to law or RPD policy.

II. POLICY

- A. All Members have an affirmative duty to intervene to prevent or stop any member from using unreasonable force or otherwise acting contrary to law or RPD policy.
- B. When Members observe such conduct, they shall intervene when it is safe and feasible to do so.
- C. All Members are expected to accept an intervention from another member when it occurs.

D. All Members are prohibited from retaliating, interfering, or using intimidation or coercion against any other member who has performed or her affirmative duty to intervene and report misconduct.

E. A Member's failure to intervene to prevent unreasonable force may result in departmental discipline or other remedial measures in accordance with RPD policy.

III. PROCEDURES

A. Interventions may be verbal and/or physical depending on the situation and the level of misconduct. Any use of physical force to intervene must be objectively reasonable, necessary, and proportional under the totality of the circumstances.

B. A Member must as soon as practical, report the offending Member's unreasonable use of force or other misconduct to a supervisor.

RPD Rule 5.1 Altering, Delaying or Falsifying Reports

c) Employees shall not falsely make or submit any type of official report or knowingly enter or cause to be entered any inaccurate, false, or improper information on the records of the Department.

RPD Rule 6.2 Subordinate Incompetency or Misconduct

Supervisors who overlook, condone or fail to take action on incompetence or misconduct on the part of their subordinates shall be guilty of neglect of duty.

ANALYSIS

<u>Allegation 1 - Untruthfulness: On November 12, 2022, Officer 1 dishonestly accused Complainant 1 of failing to signal before executing a turn to justify the traffic stop. If sustained, this violates RPD Rule 4.6.</u>

Excluding testimony and RPD documents completed by the accused, there is no conclusive evidence available to determine whether Complainant 1 failed to signal prior to making the right turn from Wilkins Street onto Street. A review of Officer 1 BWC Video 1, Officer 2 BWC Video 1, RPD Incident Report (CR#: 2022-238566) and Traffic Ticket / Supporting Deposition 1 shows that Officer 1 is consistent in assertion that Complainant 1 "failed to signal". Contrary to Officer 1's assertion, Complainant 1 is consistent in refutation as evidenced in Officer 1 BWC Video 1, Officer 2 BWC Video 1, and in testimony provided to PAB on 2 BWC Video 1 shows that immediately upon hearing the reason for the stop, the April 10, 2024. Officer passenger and witness, Witness 1 expressed stated "just signaled". Witness 1 later repeats that Complainant 1 did in fact signal before making the turn. While it remains unknown if the signal was used at all, Officer 3 BWC 2 BWC Video 2 revealed that Complainant 1 might have signaled late by wown Video 1 and Officer

■ admission. A review of New York State Vehicle & Traffic Law Chapter 71, Title 7, and Article 28(b) describes proper signaling as: "A signal of intention to turn right or left when required shall be given continuously during not less than the last one hundred feet traveled by the vehicle before turning." Based on Complainant 1' admission of possibly signaling late, if the officers did observe the late signal, a stop and subsequent traffic ticket for Improper Signal use was warranted. Investigator sought to collect additional testimony from Officer 1 and Officer 2 respectively to ascertain which of the two officers, or if both officers observed the failure to signal. Additionally, the officer's testimonial cooperation with PAB could determine if Complainant 1 completely failed to signal, signaled less than 100 feet from the turn, or if this was a pretext stop as defined in RPD General Order 415(C). Respective notices were sent to Officers 1 and 2 on July 10, 2024 requesting participation in an interview, or a



written statement narrowly tailored to respond to the allegations on or before 07/18/2024. As of October 18, 2024, The PAB has not received a response.

Based on the preponderance of available evidence, the allegation that Officer 1 was untruthful during the traffic stop is *not sustained*.

<u>Allegation 2 - Untruthfulness: On November 12, 2022, Officer 2 dishonestly accused Complainant 1 of</u> failing to signal before executing a turn to justify the traffic stop. If sustained, this violates RPD Rule 4.6.

For the same reasons explained in Allegation 1 regarding fellow Officer 1, based on the preponderance of available evidence, the allegation that Officer 2 was untruthful during the traffic stop is *not sustained*.

<u>Allegation 3 - Unlawful Search and Seizure: On November 12, 2022, Officer 1 conducted an unlawful search of Complainant 1.</u>

The Body Worn Camera footage of the six involved officers was critical in determining validity of this allegation. The BWC footage revealed falsities regarding the sequence of events as described in the intake report received by PAB, and the official RPD report created by Officer 1.

The PAB intake report states that Complainant 1 was pulled over on St. near Wilkins St. for having a "signal out". RPD officers ran up to the car yelling and screaming about the vehicle registration, which unbeknownst to the driver had expired roughly 10 days prior. The Officers then removed the driver and the passenger from the vehicle to search them and the vehicle. During the search, officers discovered an illegal firearm and arrested the driver. This description of the incident is not completely accurate. Officer 1's incident report states, "On 11/12/2022, I was operating in the capacity as a fully uniformed Rochester operating a marked police vehicle with Officer 2. While in the area of Wilkins St and St, I watched a 2004 Dodge Ram 1500 pickup truck bearing NYS reg HLH1156 fail to signal in a southbound direction down St. I activated my emergency lights to initiate a traffic stop on the above vehicle. Upon making contact NYS driver's license. I went back to my patrol vehicle and saw that (A) had a valid NYS driver's license but the registration on the vehicle had been expired. I asked (A) to step outside the vehicle so that I could conduct a search of the vehicle incident to tow. When (A) stepped out of the vehicle I asked if had any weapons on (A) answered yes and told me that was armed with a handgun in waist band that was wrapped around stomach. I asked (A) if possessed a valid NYS pistol permit to which does not have. (A) was then placed under arrest and transported back to the East Side office." This description of the incident is not completely accurate.

The actual sequence of events recorded by multiple Body Worn Cameras on scene is as follows: At approximately 9:23pm, Officer 1 pulls over Complainant 1. Officer 1 approaches the driver side door while Officer 2 approaches the passenger side. Officer 1 informs Complainant 1 that stopped for not signaling, which Complainant 1 and the passenger, Witness 1 immediately refute. Officer 1 requests that Complainant 1 provide license. While waiting for Complainant 1 to furnish the license, Officer 1 checks the vehicle registration sticker and learns of its expiration. Upon receiving the license, Officer 1 returns to RPD vehicle along with Officer 2 to check the license. Officer 3 replaces Officer 1 at the driver side and Officer 4 replaces Officer 2 at the passenger side. During this time, Complainant 1, Witness 1, Officer 3, and Officer 4 began discussing confusion regarding if a failure to signal or the expired expiration was the initial reason for the stop. There was no yelling, screaming or



hostility at any point on the part of the vehicle occupant's or the officers throughout this conversation. All parties involved maintained an objectively mild demeanor. At 9:27pm, Officer 3 turns to look at Officer 1 who has returned from checking the license. Officer 1 instructs Officer 3, to have Complainant 1 take the keys out of the ignition. Officer 3 obliges this request, prompting Complainant 1 to turn off the vehicle. Complainant 1 can be heard stating, "sure, but I don't know what's going on" as Officer 1 moves closer to the driver side front door and grabs the door handle. Officer 1 requests that Complainant 1 step out of the vehicle. Complainant 1 asks Officer 1 "For what sir?" but receives no answer. Officer 1 then instructs Complainant 1 to "take a step out of the car". Complainant 1 complies while stating, "Ok, but I don't know what's going on". Immediately upon exiting the vehicle, Officer 1 grabs Complainant 1' arm and begins to handcuff While handcuffing Complainant 1 with officer 3' assistance, Officer 1 states only "do me a favor and put your hands behind your back, you're just detained for now alright?" There is communication between Officer 1 and Officer 3 about the need to "double cuff as Complainant 1 jokingly states to Witness 1 "do you see why I wanted to stay home?" Officer 1 secures Complainant 1' hands behind back with two sets of handcuffs and states, "dude you're detained alright?" It is noteworthy that while Complainant 1 was instructed out of the vehicle and inexplicably detained, Witness 1 was not asked to exit the vehicle by Officers 2 and 4 on the passenger side until Officer 1 discovered the illegal firearm on Complainant 1' person. This is an accurate description of the incident demonstrated by several BWC recordings captured by the RPD officers on scene. As such, the BWC evidence outweighs the documentary and testimonial evidence collected, and is the principal point of analysis for this allegation.

Based on the actual sequence of events, corroborated by multiple issues of BWC footage, Officer 1's decision to detain Complainant 1, temporarily or otherwise, is unlawful. Before instructing Complainant 1 to exit the vehicle, the facts and circumstances known to Officer 1 are two violations of NYS Vehicle & Traffic law, Chapter 71. The improper signal violates Title 7, Article 28(b), and operating an unregistered vehicle violates Title 4, Article 14(a). However, neither VAT violation, committed separately or concurrently, violates NYS Penal Law and therefore does not warrant arrest. Upon learning that the vehicle was not registered, the vehicle could be towed at Officer 1's discretion, which would require an inventory search of the vehicle in accordance with RPD General Order 511 General Towing Procedures (III, A, 4). This permits the instruction of all occupants to exit the vehicle in order for officers to search the vehicle. However, RPD officers have no authority to detain or search vehicle occupants without knowledge of criminal behavior, an arrest warrant, probable cause or reasonable suspicion of a safety threat. The officer's testimonial cooperation with PAB could reveal the specific and articulable facts, or unknown circumstances, if any, which influenced the decision to temporarily detain and search Complainant 1. PAB sent respective notices to Officers 1 and 3 on July 10, 2024 requesting participation in an interview, or a written statement narrowly tailored to respond to the allegations on or before 07/18/2024. As of October 18, 2024, the PAB has not received a response. Nevertheless, RPD did not provide a warrant for Complainant 1' arrest at the time of the incident; also, the BWC footage provided by RPD did not reveal observable criminal behavior on Complainant 1' part. In the absence of testimony or additional evidence from Officers justify the seizure and search of Complainant 1, this investigation found no reasonable cause as defined by NYS Criminal Procedure Law § 70.10, reiterated by RPD General Order No. 585, and referenced by RPD Training Bulletin L-26-98. Additionally, this investigation found no reasonable suspicion as defined by W. Terry, et al. v. State of Ohio, People V. Debour 40 N.Y. 2d 210, and RPD General Order No. 415. Based on the preponderance of available evidence, the allegation that Officer 1 unlawfully seized and searched Complainant 1 on November 12, 2022 is sustained. Officer 1 violated the Fourth Amendment of the U.S. Constitution, NYS Criminal Procedure Law, RPD Rule 2.15, RPD General Order No. 585, RPD General Order No. 415, RPD Training Bulletin L-26-98 and RPD Training Bulletin L-32-99.



<u>Allegation 4 - Unlawful Search and Seizure: On November 12, 2022, Officer 3 conducted an unlawful</u> search, which revealed Complainant 1' possession of an illegal firearm. Complainant 1 was then arrested.

Based on the preponderance of available evidence and the reasons explained in Allegation 3 regarding fellow Officer 1, the allegation that Officer 3 unlawfully seized and searched Complainant 1 on November 12, 2022 is *sustained*. Officer 3 directly assisted in the detention of Complainant 1, and stood by for the subsequent search with no knowledge of facts or circumstances to justify such action. In this instance, Officer 3 violated the Fourth Amendment of the U.S. Constitution, NYS Criminal Procedure Law, RPD Rule 2.15, RPD General Order No. 585, RPD General Order No. 415, RPD Training Bulletin L-26-98 and RPD Training Bulletin L-32-99.and acknowledging direct assistance

Allegation 5 - On 11.12.22, Officer 1 unlawfully detained Complainant 1, which is an act contrary to the law and RPD policy. As an observer, Officer 2 failed to intervene, prevent or stop the unlawful detention as mandated by RPD General Order No. 336.

Officer 2 was Officer 1's partner on November 12, 2022 present on scene for the entire incident. Officer 2 had a conversation with Officer 1 in the squad car while checking Complainant 1' license. The details of that conversation are unknown. When Officer 1 and Officer 2 returned to Complainant 1' vehicle, Officer 1 joined Officer 3 on the driver side, while Officer 2 approached the passenger side. Officers 1 and 3 initiated the unlawful detention and search of Complainant 1. Officer 2's Body Worn Camera captured a limited vantage point of the unlawful detention and search from the other side of the vehicle. However, there is no evidence available to PAB that shows Officer 2 had direct knowledge or understanding that Officer 1 was unlawfully detaining Complainant 1. Officer 2 was maintaining view of the passenger, Witness 1. At one point, Officer 2 did walk around to the driver side but Officers 1 and 3 had already handcuffed Complainant 1, and learned of the firearm. As soon as Officer 2 approached Officers 1, 3 and Complainant 1, was told by Officer 1 to return to the passenger side of the vehicle and assist Officer 4 in detaining Witness 1. Accordingly, this allegation is *not sustained*.

Allegation 6 - On 11.12.22, Officer 1 unlawfully detained Complainant 1, which is an act contrary to the law and RPD policy. As an observer, Officer 4 failed to intervene, prevent or stop the unlawful detention as mandated by RPD General Order No. 336.

Officer 4 was Officer 3' partner on November 12, 2022 and both were present on scene once Officers 1 and 2 went to their vehicle to check Complainant 1' license. Officer 4 remained on th passenger side of the vehicle for most of the incident and interacted with Witness 1. Officer 4's Body Worn Camera captured a limited vantage point of the unlawful detention and search from the other side of the vehicle. However, there is no evidence available to PAB that shows Officer 4 had direct knowledge or understanding that Officer 1 was unlawfully detaining Complainant 1. Officer 4 was maintaining view of the passenger, Witness 1. Officers 2 and 4 detained Witness 1 after Officers 1 and 3 handcuffed Complainant 1, and learned of the firearm. Accordingly, this allegation is *not sustained*.

Allegation 7 - On 11.12.22, Officer 1 unlawfully detained Complainant 1, which is an act contrary to the law and RPD policy. As an observer, Officer 5 failed to intervene, prevent or stop the unlawful detention as mandated by RPD General Order No. 336.

In review of BWC footage, Officer 5 did not arrive on scene until Officer 1 had already handcuffed Complainant 1. It is unclear why Officer 5 arrived on scene acknowledging that there were two squad cars, and



four officers already present objectively in control of the situation. It is also unclear what communication, if any, transpired between Officer 1 and Officer 5 prior to the unlawful detention. Regardless, there is no evidence available to PAB that shows Officer 5 had direct knowledge or understanding that Officer 1 was unlawfully detaining Complainant 1.

As an investigative best practice, PAB sought to collect testimonial evidence from Officers 2, 4 and 5. That evidence would determine what Officer 1's fellow officers knew about course of action before, during and after the unlawful detention and search. Respective notices were sent to Officers 2, 4 and 5 on July 10, 2024 requesting participation in an interview, or a written statement narrowly tailored to respond to the allegations on or before 07/18/2024. As of October 18, 2024, the PAB has not received a response. Based on the preponderance of available evidence, Officers 2, 4 and 5 were unaware, and/or could not feasibly intervene, prevent or stop Officer 1' unlawful detention of Complainant 1. Accordingly, this allegation is *not sustained*.

Allegation 8 - Officer 1 made and submitted an official report, which inaccurately described search and seizure of Complainant 1 on 11.12.22.

Officer 1 completed and submitted the official RPD incident report, which misrepresents actions taken sequentially to discover the illegal firearm. As established in Allegation 2, Officer 1 reported intention to tow the vehicle for an expired registration prompting an inventory search of the vehicle, which automatically requires occupants to exit the vehicle. Officer 1's report states that asked asked to step outside the vehicle, and Complainant 1 complied. This is true. Officer 1's report then states that asked Complainant 1 if had any A review of Officer 3 BWC video 1 and Officer 1 BWC video 2 proves this statement is false omission. The statement omits an action captured by the aforementioned BWC videos. Officer 1 immediately started to handcuff Complainant 1 as exited the vehicle and verbalized confusion. Officer 3 assisted Officer 1 in securing Complainant 1' hands behind back with two sets of handcuffs. On two occasions, during and immediately after handcuffing Complainant 1, Officer 1 verbally informed Complainant 1 of temporary detention, but failed to state cause. Officer 1 did not ask Complainant 1 if had a weapon on until after the handcuffs were secure and a physical search of Complainant 1 began. It is true that Complainant 1 answered in the affirmative when asked if had a weapon, then confirmed that did not have a permit for it. Officer 1's report omits the acts of unlawful detention and search without reasonable suspicion, which is misconduct as described in Allegation 3. Furthermore, these acts, which preceded discovery of the illegal firearm invokes the fruit of the poisonous tree doctrine. As stated earlier, PAB sent notice to Officer 1 on July 10, 2024 requesting participation in an interview, or a written statement narrowly tailored to respond to the allegations on or before 07/18/2024. As of October 18, 2024, the PAB has not received a response. Because of Officer 1's failure to offer testimony, the investigation could not determine the reason completed and submitted an official report, which omitted an unlawful act. Despite the absence of testimony, the available evidence preponderantly shows that Officer 1 submitted an official RPD report, which falsely described the incident. The submission of a false report is an act of misfeasance, which violates RPD Rule 4.6 and RPD Rule 5.1(c). This allegation is therefore sustained.

Allegation 9 - Officer 6 failed to take action regarding the misconduct on the part of subordinates during the incident, or after the incident, which is a violation of RPD Rule 6.2 and considered neglect of duty.

All Body Worn Camera footage, including own shows that Officer 6 did not arrive on scene until approximately 9:35pm after Complainant 1 and Witness 1 were already taken into custody. Acknowledging this, there is no evidence to show that Officer 6 was aware of the unlawful detention and search conducted by Officers

1 and 3. Officer 6 did review and sign-off on the incident report completed by Officer 1, however without witnessing the incident of misconduct, in person or in review of BWC, Officer 6 had no way of knowing that the description provided on the official RPD report differed from the actual events which transpired. According to Appendix A of the current RPD BWC manual, Officer 6 was not required to review any of the BWC footage from the scene before reviewing and signing the incident report completed by Officer 1. There is also no evidence available to PAB which shows that Officer 6 reviewed any of the BWC from the incident. Testimonial evidence from Officer 6 would have been helpful in determining knowledge of the incident outside of what was reported to which is why PAB sent notice to Officer 6 on July 10, 2024 requesting participation in an interview, or a written statement narrowly tailored to respond to the allegations on or before 07/18/2024. As of October 18, 2024, the PAB has not received a response. Based on the preponderance of currently available evidence, the allegation that Officer 6 failed to take action regarding the misconduct on the part of subordinates is *not sustained*.

STANDARD OF PROOF

For the purpose of PAB's investigations, findings must be made pursuant to a "substantial evidence" standard of proof. City Charter 18-5(I) (10). This standard is met when there is enough relevant and credible evidence in the record as a whole that a reasonable person could support the conclusion made. (See 4 CFR §28.61(d)). Substantial evidence means more than a mere scintilla but less than a preponderance; it means such relevant evidence as a reasonable mind might accept as adequate to support a conclusion. See NLRB v. Int'l Bhd. of Elec. Workers, Local 48, 345 F.3d 1049, 1054 (9th Cir. 2003); De la Fuente II v. FDIC, 332 F.3d 1208, 1220 (9th Cir. 2003). However, for the purposes of this case, the higher standard of by a preponderance of evidence is applied. Merriam Webster defines preponderance of evidences as, "The standard of proof in most civil cases in which the party bearing the burden of proof must present evidence which is more credible and convincing than that presented by the other party or which shows that the fact to be proven is more probable than not."

(https://www.merriam-webster.com/legal/preponderance%20of%20the%20evidence). This is understood to be a greater than 50% chance that the claim is true.

RECCOMENDED FINDINGS

#	Officer	Allegation	Finding/Recommendation
1	Officer 1	Untruthfulness	Not Sustained
2	Officer 2	Untruthfulness	Not Sustained
3	Officer 1	Unlawful Detention	Sustained
4	Officer 3	Unlawful Detention	Sustained
5	Officer 2	Failure to Intervene	Not Sustained
6	Officer 4	Failure to Intervene	Not Sustained
7	Officer 5	Failure to Intervene	Not Sustained
8	Officer 1	Falsifying Reports	Sustained
9	Officer 6	Neglect of Duty	Not Sustained



RECOMMENDED DISCIPLINARY ACTION

AUTHORITY

Article XVIII of the Rochester City Charter further requires that the Police Accountability Board create a "written, consistent, progressive and transparent tool or rubric" that "shall include clearly delineated penalty levels with ranges of sanctions which progressively increase based on the gravity of the misconduct and the number of prior sustained complaints." This disciplinary matrix is a non-binding set of guidelines for the Police Accountability Board's own recommendations regarding officer misconduct.

According to the matrix, the disciplinary history of an officer will be considered when assessing an appropriate penalty resulting from the current investigation. Prior discipline changes the presumptive penalties according to the matrix. Mitigating and aggravating factors related to the misconduct may be considered when determining the level of discipline, so long as an explanation is provided.

The Recommended Disciplinary Action based on the above Recommended Findings is as follows:

Officer (Officer 1)
Officer has three prior sustained findings of officer misconduct relating to PTN 2023-0108 for knowingly entering false information on an incident report, failing to complete an incident report by the end of tour, and failing to report a stolen motor vehicle as having been recovered.
Officer has five prior sustained findings of officer misconduct relating to PTN 2024-0005 for violating the Americans with Disabilities Act, Equitable Policing, failing to fully investigate a motor vehicle accident, discourtesy, and ignoring the need for an interpreter. The PAB recommended termination for this officer relative to that incident.
Additionally, Officer received a letter of reprimand on December 8, 2021 as discipline for involvement in a fleet vehicle accident, which occurred on September 13, 2021, according to Professional Standards Section case #21-1323. P.S.S. deemed this incident a violation of Rochester Police Department Rules and Regulations, Section 4.18; Departmental Property and Equipment and Rochester Police Department General Orders, Section 345.II.A; Police Vehicle Accidents.

Sustained Allegation #3 against Officer (Officer 1)

Disciplinary Matrix Appendix

Misconduct	Level
Unlawful Seizure and Search, which violates the Fourth Amendment of the U.S.	#5
Constitution, NYS Criminal Procedure Law, RPD Rule 2.15, RPD General	
Order No. 585, RPD General Order No. 415, RPD Training Bulletin L-26-98	
and RPD Training Bulletin L-32-99.	

• Recommended Level 5: ("Major negative impact to individuals, community, public perception of the agency or relationships with other officers, or agencies, or; demonstrates serious lack of



integrity, ethics, or character and includes conduct that could effectively disqualify an officer from continued employment as a law enforcement officer.")

• <u>Recommended Discipline</u> (based on three prior sustained violations): Termination. PAB notes that this is the second time we have recommended termination for this officer.

Sustained Allegation #9 against Officer (Officer 1)

Disciplinary Matrix Appendix

Disciplinary Water in Tippelland	
Misconduct	Level
Making and submitting an official report, which inaccurately described the search and seizure of Complainant 1 on 11.12.22. This act is a violation of RPD Rule 4.6 and RPD Rule 5.1(c). This act is also Misfeasance as defined by the RPD Rules and Regulations.	#4

- Recommended Level #4: ("Significant negative impact to individuals, community, public perception of the agency or relationships with other officers, or agencies.")
- <u>Recommended Discipline</u> (based on three prior sustained violations): Termination. PAB notes that this is the second time we have recommended termination for this officer.

Officer (Officer 3)

This is the first time Officer has been the subject of an investigation closed by the PAB. A review of the Rochester Police Department Discipline Database located on the City of Rochester's website suggests that Officer has not been the subject of a previous investigation by the RPD Professional Standards Section (PSS). However, the PAB understands that the database is incomplete. RPD declined to provide disciplinary records for Officer

Sustained Allegation 4 against Officer (Officer 3)

Disciplinary Matrix Appendix

Misconduct	Level
Unlawful Seizure and Search, which violates the Fourth Amendment of the U.S.	#5
Constitution, NYS Criminal Procedure Law, RPD Rule 2.15, RPD General	
Order No. 585, RPD General Order No. 415, RPD Training Bulletin L-26-98	
and RPD Training Bulletin L-32-99.	

- Recommended Level #3: ("Pronounced negative impact to individuals, community, public perception of the agency or relationships with other officers, or agencies.")
- Recommended Discipline: 10-day suspension.
- Explanation for Deviation of Level: This is Officer first sustained PAB finding. Though Officer chose to assist with the unlawful seizure and search seizure, was acting to support Officer